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A pilot six-week randomized controlled trial of oxytocin on social 1 cognition and social skills in schizophrenia 2

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- 1. Introduction 30

Individuals with schizophrenia demonstrate difficulties in social 31 cognition, which is associated with poor social functioning (Fett et al., 32 2011). Given the evidence that antipsychotics do not improve social 33 cognition (Penn et al., 2009), there is a need to explore other potential 34 therapeutic approaches, such as oxytocin (OT). 35

Studies show intranasal OT treatment has prosocial effects and 36 37 improves aspects of social cognition (Guastella and MacLeod, 2012; 38 Shahrestani et al., 2013). Plasma OT levels in individuals with schizophrenia are related to some aspects of social cognition, trusting 39 behavior and psychiatric symptoms (Goldman et al., 2008; Keri et al., 402009; Rubin et al., 2010, 2011; Walss-Bass et al., 2013). Three recent Q2 42randomized, placebo-controlled clinical trials all found that intranasal OT treatment significantly decreased psychotic symptoms (Feifel et al., 43 2010; Pedersen et al., 2011; Modabbernia et al., 2013). 44

45 Studies evaluating intranasal OT and social cognition in schizophrenia have demonstrated that a single OT dose is associated with improve-46 ments in emotion recognition, specifically accuracy in the recognition 4748 and detection of fear (Goldman et al., 2011; Averbeck et al., 2012), social 49 perception (Fischer-Shofty et al., 2013), and higher-order social cognition (Davis et al., 2013). Pedersen et al. (2011) found that two weeks of twice 50

ABSTRACT

The current study explored whether oxytocin can improve social cognition and social skills in individuals with 18 schizophrenia using a six-week, double-blind design. Fourteen participants with schizophrenia were randomized 19 to receive either intranasal oxytocin or a placebo solution and completed a battery of social cognitive, social skills 20 and clinical psychiatric symptom measures. Results showed within group improvements in fear recognition, 21 perspective taking, and a reduction in negative symptoms in the oxytocin group. These preliminary findings 22 indicate oxytocin treatment may help improve certain components of functioning in schizophrenia. Implications 23 for the treatment of social functioning in schizophrenia are discussed. 24

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daily OT treatment significantly improved Theory of Mind (ToM) and 51 trended toward increasing trustworthy ratings of untrustworthy faces. 52 The results are promising but their limitations in treatment scope and 53 duration underscore the need to investigate the effects of OT administra- 54 tion for longer periods of time on a broader range of socially relevant 55 measures.

The primary aim of the current study was to evaluate the effects of six 57 weeks of twice daily intranasal OT treatment on social cognition in indi-58 viduals with schizophrenia. We examined the effect of OT on emotion 59 recognition, Theory of Mind (ToM), empathy, and social perception. 60 Given the preliminary evidence that OT has a beneficial impact on emo- 61 tion recognition, particularly fear recognition, ToM, empathy and social 62 perception in individuals with schizophrenia, it was hypothesized that 63 OT would lead to improvements in each of these social cognitive do- 64 mains. We also evaluated the exploratory outcomes of attributional 65 style and social skills (these were considered exploratory given the 66 limited research on OT and these domains). Lastly, we evaluated the 67 effects of OT on clinical psychiatric symptoms. Since the primary aim of 68 the current study was on the impact of OT on social cognition, the 69 evaluation of clinical psychiatric symptoms was considered secondary. 70

2. Methods

2.1. Participants

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The study was approved by the University of North Carolina (UNC) 73 Biomedical Institutional Review Board and conducted in accordance 74

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with The Code of Ethics of the World Medical Association. Writteninformed consent was obtained from all participants.

Participants were outpatients recruited from the UNC Department of 77 78 Psychiatry Schizophrenia Treatment and Evaluation Program outpatient clinics (Chapel Hill, NC), other schizophrenia programs within psychiatry, 79 and the NC Psychiatric Research Center (Raleigh, NC). Seventeen partici-80 pants completed their baseline visit and fourteen (OT n = 8; PL n = 6) 81 82 were retained for six-week analyses. The three dropouts did not differ 83 from retained participants on any of the baseline or demographic vari-84 ables. Note that for the Interpersonal Reactivity Index, only 5 participants 85in each group completed the measure since it was added after the study 86 began.

The inclusion criteria for the six-week trial included the following: 87 88 schizophrenia diagnosis (based on DSM-IV-TR criteria); stability of symptom severity (i.e., no acute psychiatric symptoms); moderate clin-89 ical psychiatric symptoms as defined by a total PANSS score greater than 90 60; social difficulty as defined by a PANSS score of 4 or higher on the 91 92suspiciousness/paranoia item, or a 3 on the suspiciousness/paranoia item and 3 or higher on one of the socially relevant PANSS items 93 (e g. hostility, passive social avoidance, active social avoidance or 94 uncooperativeness item); low to moderate depressive symptoms; 95 on the same medication(s) and dose(s) for at least 1-month prior 96 97 to study participation; and between the ages of 18 and 55. Diagnosis was based on extensive chart review and consultation with the attend-98 ing psychiatrist. The Structured Clinical Interview for DSM-IV Axis I Dis-99 orders (SCID-I; First et al., 2002), Mood Disorders and Psychotic 100 Disorders modules were administered by trained research clinicians 101 102or advanced graduate students for participants who were not followed by UNC's Department of Psychiatry or participants whose diagnosis was 103 unclear (e.g., schizophrenia versus schizoaffective disorder). 104

Exclusion criteria included low literacy as indicated by an inability to 105106 read and understand the consent form; positive urine drug screen for 107 illegal substances or drugs that have not been prescribed; dependence on substances other than tobacco or caffeine (based on results from 108 urine drug screen, self-report and chart review); debilitating medical 109conditions; major surgery or trauma in the past year; pregnancy or 110 breast-feeding; having given birth in the past 6 months or breast-111 112 feeding in the past 3 months; abnormalities found during medical evaluation during study participation; and an inability to learn self-113 administration of intranasal treatments. 114

Note that the two-week outcome data for 10 participants in the current study were reported in the Pedersen et al. (2011) two-week trial; however, all participants had the same exposure to the measures, so practice effects for those in the Pedersen et al. (2011) two-week trial were not a concern. Similarly, there was no difference in exposure between the experimental and control group.

121 2.2. Procedures

This was a randomized, double-blind, placebo-controlled six-week 122treatment trial. Within one week after screening, baseline social 123124cognition, social skills, and clinical psychiatric ratings were assessed. 125Following instruction by research staff in intranasal self-administration, daily intranasal treatments were initiated after baseline assessments 126were completed. Social cognition, social skills and clinical psychiatric 127symptom measures were repeated 50 minutes after the morning dose 128 129of study medication at the end of treatment week 6.

The social cognitive measures included: The Emotion Recognition-13040 (ER-40; Kohler et al., 2004), Theory of Mind Picture Stories Task 131 (Brune, 2003), The Eyes Test (Baron-Cohen et al., 2001), The Interperson-132al Reactivity Index (IRI; Davis, 1983), The Trustworthiness Task (Adolphs 133 et al., 1998), The Ambiguous Intentions Hostility Questionnaire-134Abbreviated Version (AIHQ; Combs et al., 2007). Social skills were 135assessed with a role-play measure administered at the baseline and six-136 week visits. The current study used two role-play scenarios (meeting a 137138 new person and consoling a friend). Social skills were coded in three domains: Global skills (i.e., content, overall social skill item, social anxiety), specific skills (i.e., questions, fluency, clarity, meshing, involvement), 140 and nonverbal skills (i.e., gaze, facial affect, appropriate affect; Pinkham 141 and Penn, 2006). Two independent raters, blind to group status, were 142 trained to reliability. They reached acceptable levels of inter-rater reliabil-143 ity for social skills ratings on the role plays [i.e., ICCS \geq .60; *Role play 1* 144 (meeting a new person): Global ICC = .70, Specific skills ICC = .94, Non-145 verbal ICC = .63 and *Role play 2* (consoling a friend): Global ICC = .74, 146 Specific skills ICC = .80, Nonverbal ICC = .60].

Clinical psychiatric symptoms were measured with The Positive and 148 Negative Syndrome Scale (PANSS; White et al., 1997). Trained staff ad- 149 ministered the social cognitive, social skills and clinical psychiatric 150 symptom measures. All staff involved in data collection were blind to 151 treatment group. 152

Participants remained on their pre-study medication regimen and 153 doses throughout the treatment trial. They self-administered intranasal 154 study drug twice daily (before breakfast and before dinner). Each dose 155 consisted of six 0.1 ml insufflations (alternating every 30 seconds be- 156 tween the left and right nostril) of OT spray; the total insufflation at 157 each dose was approximately 24 international units (IU) of OT 158 [Syntocinon Spray, Novartis] or placebo (PL, containing the same ingre- 159 dients as Syntocinon Spray except for OT). Twenty-four IU is the most 160 commonly used dose in studies that found significant effects of acute in- 161 tranasal OT treatment (MacDonald and MacDonald, 2010). Outpatient 162 compliance with test treatments was monitored by weighing spray bot- 163 tles before they were dispensed and after the morning dose during clin- 164 ic visits at the end of treatment weeks 2, 4 and 6. Participants in the OT 165 and PL groups were evaluated the same number of times and had equal 166 exposure to all study measures. 167

3. Data analytic plan

Independent t-tests were used to evaluate baseline differences between groups on continuous variables (including primary, secondary 170 and exploratory outcome variables) and chi-square tests were conducted to evaluate baseline differences on categorical variables. 172

We report within group changes as measured by paired sample- t-tests. 173 Statistical significance was set at an alpha level of .05 or below and SPSS 174 was used for all analyses. Cohen's *d* effect sizes were calculated to measure the magnitude of treatment effects for within group analyses. The 176 baseline and six-week raw means and standard deviations were used in 177 the effect size calculations. The correlation between the baseline and 178 six-week raw mean score was included in the effect size calculations 179 to correct for dependence between these two means (Morris & 180 Deshon, 2002). The following conventions were used to define the magnitude of treatment effects: small, d = .2; medium, d = .5; large, d = .8 182 (Cohen, 1988). Note that analyses were not adjusted for multiple comparisons. 184

4. Results 185

4.1. Descriptive analyses

Treatment groups only differed on the PANSS positive symptom 187 rating [t(12) = 2.15, p = .05; Table 4] at baseline. Specifically, the PL 188 group had significantly greater positive symptoms at baseline (Table 4). 189 There were no other significant baseline differences on demographic 190 variables, medication compliance (Table 1), primary, exploratory or 191 secondary measures (Tables 2–4). 192

4.2. Primary analyses

Table 2 shows the baseline and six-week means, standard devia- 194 tions, and effect sizes for each group on the primary outcome social 195 cognitive variables. Within group analyses revealed a significant im- 196 provement in fear recognition in the OT sample [t(7) = 2.37, p = .05] 197

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t1.1 Table 1

Demographic information. t1.2

	Oxytocin $(n = 8)$		Placebo ($n = 6$)		
Demographic Variable	n	%	Ν	%	p Value
Male	6	75	5	83.3	.71
Caucasian	4	50.0	3	50.0	1.00
Greater than HS education	5	62.5	4	66.67	.68
	Mean	SD	Mean	SD	
Age in years	38.88	7.22	35.67	9.00	.47
Years since first onset of disorder	15.31	10.28	13.67	9.37	.76
Hospitalizations	3.75	2.92	5.33	2.88	.33
Medication compliance ^a (%)	86.88	38.17	76.50	12.73	.54

t1.14 Note: chi-square for comparison of proportions; t-test for age, years since first onset of ±1.15 disorder, number of hospitalizations.

^a The medication compliance for one OT participant was not collected. t1.16

and a corresponding large effect size. The PL sample did not show a sig-198 199 nificant change in fear recognition [t(5) = -.54, p = .61]; the effect size reflected a small reduction in fear recognition for the PL sample. 200 There were no significant changes over time for recognition of other 201emotions (angry, sad, happy, neutral) for either group. 202

Both groups significantly improved on Theory of Mind (ToM) as 203 measured by the Brune total score [OT: t(7) = 2.82, p = .03; PL: t 204 (5) = 2.95, p = .03]. Both groups demonstrated trend level improve-205 ments for the ToM sub-score, deception detection [OT t(7) = 2.05, p 206 207 = .08; PL: t(5) = 2.24, p = .08]. The OT group showed a trend level improvement for third order ToM [t(7) = 1.93, p = .10] and the PL group 208 showed a trend level improvement for second order ToM [t(5) = 2.24, 209p = .08]. Both groups generally showed large effect size improvements 210 on the Brune indices. Neither group showed significant within group 211 improvements on the Eyes Test (Table 2). 212

213Similarly, there were no significant within group changes on the 214 Trustworthiness Task for either group. Effect sizes on the Trustworthiness

t2.1 Table 2

Primary social cognitive outcomes. t2.2

Task were inconsistent in direction and in the small range. Finally, the OT 215 group showed a significant increase in self-reported perspective taking 216 (PT) at six weeks [t(4) = 3.26, p = .03]. The PL group did not show sig- 217 nificant within group PT changes [t(4) = 1.73, p = .16]. The effect size 218 improvement in PT was large for the OT group, while the PL group 219 showed the opposite pattern (worse PT at six weeks). There were no 220 significant within group effects for the other IRI sub-scores. 221

4.3. Exploratory analyses

In regard to attributional style, both groups showed a significantly 223 reduced hostility bias at six weeks [OT: t(7) = -2.80, p = .03; PL: t_{224} (5) = -4.34, p = .007]; the magnitude of the change was large for 225 both groups. There were no significant changes for the other AIHQ 226 sub-scores (Table 3). 227

Regarding social skills, the PL group showed a trend toward worse 228 global [t(5) = -2.18, p = .08] and nonverbal [t(5) = -2.22, p = .08] 229 social skills for the second role play. There were no within group 230 changes for the social skill sub-scores for the OT group. See Table 3 231 for social skills means, standard deviations, and effect sizes. 232

4.4. Secondary analyses

Finally, within group analyses revealed significant reductions in 234 clinical psychiatric symptoms for both groups. The OT group had a 235 significant decrease on all PANSS sub-scores [positive: t(7) = 236-3.64 p = .008; negative: t(7) = -5.00, p = .002; and general 237 symptom scores: t(7) = -2.51, p = .04] at six weeks (Table 4). 238 The PL group showed a significant decrease in PANSS positive [t(5) = 239]-2.62 p = .05 and general symptoms scores [t(5) = -3.16, p = .025] 240 and no significant change on negative symptom ratings. The effect sizes 241 for the positive and general symptom reductions were large for both 242 groups, while only the OT group had a large effect size reduction in nega- 243 tive symptoms (Table 4). 244

t2.3	Oxytocin ($n = 8$	3)			Placebo ($n = 6$)		
t2.4		BL Mean (SD)	Week 6 Raw Mean (SD)	Cohen's d ^b	BL Mean (SD)	Week 6 Raw Mean (SD)	Cohen's d ^b
t2.5	ER-40						
t2.6	Fear	6.25 (1.67)	7.25 (.89)*	1.04	6.83 (2.40)	6.67 (1.86)	30
t2.7	Anger	4.75 (1.28)	4.38 (1.30)	35	5.83 (1.60)	6.17 (1.72)	.42
t2.8	Sad	6.88 (1.36)	7.13 (1.46)	.28	5.33 (1.75)	6.50 (1.64)	.53
t2.9	Нарру	7.88 (.35)	8.00 (.00)	.34	8.00 (.00)	7.83 (.41)	42
t2.10	Neutral	6.25 (1.28)	7.25 (.89)	.79	5.83 (2.14)	7.17 (.98)	.85
t2.11	Eyes Test	20.63 (3.46)	22.25 (5.29)	.46	21.67 (5.82)	22.17 (7.83)	.08
t2.12	Brune Tot	18.75 (2.82)	21.13 (1.36)*	1.18	19.50 (2.95)	22.00 (1.27)*	1.88
t2.13	2nd sum	3.88 (.99)	4.63 (.52)	.67	4.33 (.52)	4.83 (.41)	.92
t2.14	3rd false	2.00 (.93)	2.63 (.52)	.73	2.50 (.55)	2.83 (.41)	.66
t2.15	Rec.	2.75 (.46)	2.63 (.52)	19	2.83 (.41)	2.83 (.41)	.00
t2.16	Dec.	2.50 (.76)	2.88 (.35)	1.08	2.17 (.98)	2.67 (.52)	1.66
t2.17	Trust Tot	-2.00 (57.15)	1.88 (40.98)	.17	12.83 (22.30)	11.33 (34.23)	05
t2.18	Untrust	-12.63 (16.90)	-8.38 (12.66)	.28	-9.67 (8.80)	-13.33 (11.24)	25
t2.19	Trust	10 (11.50)	8.50 (8.25)	34	15.00 (5.97)	14.67 (7.20)	07
t2.20	IRI total ^a	86.80 (13.37)	88.00 (13.71)	.13	87.40 (6.91)	87.00 (8.57)	17
t2.21	Fantasy	20.40 (7.44)	21.60 (4.62)	.22	26.20 (6.98)	26.80 (6.26)	.26
t2.22	Emotion	26.60 (3.65)	23.80 (4.82)	88	21.20 (7.40)	23.60 (6.88)	.84
t2.23	PT	20.60 (1.14)	23.40 (2.51)*	1.93	21.00 (6.63)	19.40 (8.26)	-1.24
t2.24	Distress	19.20 (3.49)	19.20 (4.09)	.00	19.00 (5.01)	17.20 (4.32)	-1.03

Note: SD = Standard deviation; BL = baseline; ER-40 = Emotion Recognition-40; 2nd sum = Brune 2nd order Theory of Mind sum score; 3rd false = Brune 3rd order Theory of Mind t2 25 t2.26 false belief score; Rec. = accurate detection of reciprocity on Brune; Dec. = accurate detection of deception on Brune; Trust overall = overall score on trustworthiness task; Untrust = t2.27 score on faces judged as mostly untrustworthy by a normative sample; Trust = score on faces judged as mostly trustworthy by a normative sample; IRI = Interpersonal Reactivity Index; PT = Perspective Taking:t2.28 t2 29

N = 10 for participants completing the IRI; 5 participants in each group; IRI items rated on 1–5 Likert scale.

Effect sizes with positive values are in the hypothesized direction (improvement on social cognitive measures, higher scores in OT group); effect size calculation accounts for depent2.30 dence of baseline and week 6 means (correlation between baseline and week 6 means); raw means and standard deviations at baseline and week 6 used in effect size calculations.

t2.31 Indicates significant change from baseline, p < .05

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t3.1

Table 3

t3.2 Exploratory social cognitive outcomes.

.3 Oxytocin	(n = 8)			Placebo(n = 6)		
.4 Measure	BL Mean (SD)	Week 6 Raw Mean (SD)	Cohen's d ^a	BL Mean (SD)	Week 6 Raw Mean (SD)	Cohen's d ^a
.5 AIHQ						
.6 Hostility	2.24 (.41)	1.66 (.53)*	-1.02	2.38 (.51)	1.85 (.32)*	-2.16
.7 Blame	3.27 (.74)	2.65 (.83)	66	3.20 (.84)	2.74 (1.73)	95
.8 Agg	1.86 (.28)	1.75 (.32)	39	1.78 (.26)	1.80 (.16)	.13
.9 .10 Social Sk	ills: RP1					
.11 Global	11.06 (1.74)	11.69 (.88)	.55	10.75 (2.04)	10.83 (1.72)	.08
12 Specific	20.56 (2.69)	19.94 (2.37)	31	19.17 (2.71)	20.33 (1.21)	.31
13 Nonverb	al 12.13 (1.73)	12.19 (1.00)	.04	11.50 (1.04)	11.17 (1.33)	33
14 15 Social Sk	ills: RP2					
16 Global	12.13 (.64)	12.25 (1.77)	.10	11.58 (1.28)	10.33 (2.42)	-1.45
17 Specific	20.25 (1.75)	21.00 (1.79)	.26	19.83 (1.72)	18.83 (1.94)	46
18 Nonverb	al 12.19 (1.31)	11.94 (1.02)	23	11.92 (1.11)	10.50 (1.38)	92

t3.19 Note: SD = Standard Deviation; BL = baseline; AlHQ = Ambiguous Intentions Hostility Questionnaire; Agg = Aggression; Bold indicates statistical significance RP1 = role play 1, t3.20 "getting to know your neighbor"; RP2 = role play 2.

t3.21 Global = global social skills sub-score; specific = specific social skill sub-score; nonverbal = nonverbal social skill sub-score.

43.22 a Effect sizes for the AIHQ with a negative sign indicate less hostility, blame and aggression; Positive effect sizes for social skills role play indicate improved social skills; within group effect size accounts for dependence of baseline and week 6 means (correlation between baseline and week 6 means); raw means and standard deviations at baseline and week 6 used in effect size calculations.

t3.23 * Indicates significant change from baseline, p < .05

245 5. Discussion

This is the first known six-week trial to assess the effects of OT on social cognition and social skills in schizophrenia. The pattern of results showed that participants randomized to the OT condition had significant improvements in fear recognition and perspective taking, as well as reduced negative symptoms. Both the OT and PL conditions showed improvements in Theory of Mind, and reductions in hostility bias, positive symptoms and general symptoms at six weeks.

The improvement observed in fear recognition is consistent with 253254others who have found OT is associated with improved fear recognition 255in individuals with schizophrenia (Goldman et al., 2011; Averbeck et al., 2562012). Improved fear recognition as a function of intranasal OT has supporting neurological correlates. Specifically, Kirsch et al. (2005) 257found reduced amygdalar response in participants given intranasal OT 258when they were shown fearful faces. It appears OT may have a particular 259role in regulating fear recognition, which is interesting given accurate 260261fear recognition has been linked to prosocial behavior (Marsh et al., 2007). Further research is needed to better understand the relationship 262between OT and the recognition of fear. 263

264The improvement in the perspective-taking component of empathy is promising given that schizophrenia samples have repeatedly shown 265266deficits in self-reported perspective taking as compared to non-clinical samples (e.g., see Montag et al. (2007) and Achim et al. (2011)). Addi-267tionally, these findings are in accord with previous research showing 268improved empathy in non-clinical samples administered OT (Bartz 03 270et al., 2011); however, the IRI was implemented after the study began 271and only 10 total participants (5 in each group) completed the measure. Therefore, the IRI results must be interpreted cautiously. 272

Both groups demonstrated improved overall Theory of Mind and a 273 reduced hostility bias. No other significant social cognitive changes 274 were observed for the OT group. In addition, no significant changes 275 were observed in social skills. These results suggest that OT may 276 differentially affect separate aspects of social cognition, which concurs 277 with a recent review of the literature (Bartz et al., 2011). 278

Although not a primary outcome, negative symptoms decreased in 279 the OT treatment group and reflected a large treatment effect (both 280 groups showed decreased positive and general symptoms). The rela- 281 tionship between OT and negative symptoms is intriguing given that 282 antipsychotic medications do not significantly ameliorate negative 283 symptoms (Bellack et al., 2004). This is consistent with previous re- 284 search in the area (MacDonald & Fefel, 2012; Modabbernia et al., Q4 2013). Of note, in the Pedersen et al. (2011) two-week trial, there was 286 a trend toward a within group decrease in negative symptoms for the 287 OT group. Thus duration of OT dosing must be considered. In addition 288 to duration, the amount of OT appears to be another consideration in 289 assessing the efficacy of OT. Modabbernia et al. (2013) found signifi- 290 cantly reduced negative symptoms at six and eight weeks after one 291 week of 20 IUs of twice daily OT followed by 40 IUs of twice daily OT 292 for the remaining seven weeks. Feifel et al. (2010) found that OT signif- 293 icantly reduced negative symptoms at three weeks with one week of 20 294 IUs of twice daily OT and two weeks of 40 IUs of OT sprayed twice daily. 295 Further research is needed to better understand the relationship 296 between dosing, duration and efficacy of OT. 297

This study has a variety of strengths. The current study is an extended 298 trial (i.e., six weeks of twice daily oxytocin or placebo) rather than a 299 single OT dose. We examined social cognition *and* social skills while 300 the existing OT randomized control trials have typically focused on the 301

4.1	Tab	le 4

t4.2 Secondary outcomes: clinical psychiatric symptoms

t4.3	Oxytocin (<i>n</i> =	8)			Placebo ($n = 6$)		
t4.4	Measure	BL Mean (SD)	Week 6 Raw Mean (SD)	Cohen's d ^a	BL Mean (SD)	Week 6 Raw Mean (SD)	Cohen's d ^a
t4.5	PANSS						
t4.6	Positive ^b	16.88 (4.61)	14.00 (3.34)*	-1.55	22.50 (5.17)	18.50 (6.22)*	-1.11
t4.7	Negative	19.75 (4.10)	17.25 (4.20)*	-1.77	17.50 (4.46)	17.17 (3.66)	10
t4.8	General	34.75 (7.01)	29.88 (4.91)*	95	41.00 (9.03)	32.67 (4.13)*	- 1.83

t4.9 Note: SD = Standard Deviation; PANSS = Positive and Negative Syndrome Scale; BL = baseline.

t4.10 ^a Effect sizes with a negative sign indicate a reduction in symptoms; within group effect size accounts for dependence of baseline and week 6 mean (correlation between baseline and week 6 means); raw means and standard deviations at baseline and week 6 used in effect size calculations.

t4.11 ^b Significant difference in baseline mean between OT and PL group, p < .05.

t4.12 * Indicates significant change from baseline, p < .05.

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amelioration of the clinical psychiatric symptoms of schizophrenia. We
 included a broad range of social cognitive skills to better elucidate the
 relationship between OT and social cognition in schizophrenia. More over, our sample included both men and women (2/8 OT participants
 were female) whereas the existing OT and schizophrenia literature has
 not consistently included women.

There are a number of limitations that should be outlined. First, 308 the small sample size precluded making definitive conclusions 309 310 about the effects of OT treatment on social cognition in schizophrenia, and limited us to examining within group changes rather than between 311 312 group differences. The small sample additionally limited our ability to 313evaluate possible moderators (e.g., gender) and mediators. Second, although efforts were made to maintain compliance in the current 314315study, compliance was not 100%. Third, follow-up data were not obtained, so it is unclear whether treatment effects persist after termination of 316 treatment. Lastly, we cannot definitely attribute the social cognitive 317 treatment effects to chronic dosing versus an acute dose (i.e., partici-318 pants were tested at baseline and 50 minutes after the six-week 319 dose). However, it is important to note that Modabbernia et al. (2013) 320found that PANSS scores dropped steadily across all time periods so 321 that the decline from baseline was significantly greater for the OT as 322 compared to the PL group for total score at 4 and subsequent weeks 323 324 and for positive, negative and general scores at six and eight weeks. This result indicates that OT exerts a steadily increasing effect rather 325than just an acute effect. Regardless, further research should consider 326 waiting a longer period after the last intranasal dose to assess treatment 327 effects in order to clarify this issue. 328

Overall, the results of the current study indicate that OT may improve fear recognition, perspective taking and negative symptoms in schizophrenia, but has limited impact on other aspects of social cognition and social skills. It remains to be seen if these mixed findings are replicated in larger trials, which underscores the need to continue research in this area.

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338 Contributors

Authors CMG, CAP, DLP, and KLS collaborated on designing the study; CMG, CAP, DLP,
 and KLS wrote the protocol and obtained IRB approval. CMG, KLS and TE coordinated
 execution of the project. CMG and JLS analyzed the data. CMG wrote the first draft of the
 manuscript. All authors contributed to and have approved the final manuscript.

343 Conflict of interest

344 None of the authors have a conflict of interest.

Q5 Uncited reference

346 Ditzen et al., 2009

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